

# KDETrees Simulations

Grady Weyenberg

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## 1 Introduction

Here we present the code for the simulations found in the KDETrees article. These simulations compare the ability of KDETrees to find trees which were generated by a non-contained coalescent process, in a dataset consisting mostly of trees generated by a contained coalescent process.

The coalescent trees are generated using the methods found in the Dendropy python module. The script which generates the trees, as well as the species trees can be found in the `sim` directory of the KDETrees package.

```
> library(kdetrees)
> library(ape)
> library(ggplot2)
> library(parallel)
```

## 2 Comparison of KDETrees and Phylo-MCOA

In this simulation we generate a test dataset which consists of a set of “outlier” trees, which are generated by an unconstrained coalescent process, and larger set of “non-outlier” trees which are generated by constrained coalescent processes. The outlier trees used are those in the `vignettes/sim/species1.nex` file.

```
> out.trees <- unname(read.nexus("sim/species1k.nex"))
```

The non-outlier trees are created by the `tresim.py` script. This example call to the script will generate 100 coalescent trees with effective population size 800 for each species tree found in `species.nex`.

```
> system2("sim/treesim.py", c("-s", "sim/species.nex", "-n", 800, "-N", 100))
```

First a wrapper to make mMCOA output resemble kdetrees output.

```
> source("sim/my-pmcoa.R")
> pmcoa <- function(trees, k=1.5, ...){
+   list(i=which(detect.complete.outliers(pMCOA(trees, ...), k=k)$TFgn))
+ }
```

Next a simulation function which generates a dataset and then determines the false and true positive rates.

```
> sim <- function(neff, out.trees, sp.file="sim/species.nex", ncoal=100, ..., f=kdetrees){
+   if(inherits(out.trees, "multiPhylo")) out.trees <- lapply(out.trees, c)
+   run <- function(otrees){
+     coaltrees <- read.tree(text=system2("sim/treesim.py",
+                                       c("-n", neff, "-s", sp.file, "-N", ncoal), stdout=TRUE))
+     res <- f(c(otrees, coaltrees), ...) $i
```

```

+   hit <- sum(seq_along(otrees) %in% res)
+   c(hit=hit/length(otrees) , type1=(length(res)-hit)/length(coaltrees))
+ }
+ rowMeans(mcmapply(run,out.trees,SIMPLIFY=TRUE))
+ }

```

The ROC simulation varies the classification tuning parameter,  $k$ , and tabulates FPR and TPR.

```

> roc.sim <- function(k,...){
+   foo <- function(kk,...) sim(...,k=kk)
+   res <- sapply(k,foo,...)
+   colnames(res) <- k
+   res
+ }
> #roc.sim(c(0,1,2),neff=2000,out.trees[1:10],f=pmcoa)

```

Simulation run. The effective population size of 2000 implies a moderate amount of variance in the coalescent trees.

```

> options(mc.cores=4)
> k <- seq(-2,3,by=0.125)
> roc.kde <- roc.sim(k,neff=2000,out.trees[1:200], f=kdetrees)
> roc.kde.d <- roc.sim(k,neff=2000,out.trees[1:200], f=kdetrees,distance="d")
> roc.pmc <- roc.sim(k,neff=2000,out.trees[1:200], distance="p", f=pmcoa)
> save(roc.kde,roc.kde.d,roc.pmc,file="sim/rocsim.Rda")

> load("sim/rocsim.Rda")
> roc.df <- rbind(cbind(as.data.frame(t(roc.kde)),method="kde"),
+               cbind(as.data.frame(t(roc.kde.d)),method="kdediss"),
+               cbind(as.data.frame(t(roc.pmc)),method="pmcoa"))
> roc.plot <- ggplot(roc.df,aes(x=type1,y=hit,lty=method)) + geom_line() + xlim(0,1) + theme(legend.pos="right")
> roc.plot
> ggsave("img/roc.pdf", width=4,height=3)

> roc.kde.mix <- roc.sim(k,neff=2000,out.trees[1:200], f=kdetrees,sp.file="sim/species5.nex",ncoal=20,br=0.01)
> roc.kde.mix.d <- roc.sim(k,neff=2000,out.trees[1:200], f=kdetrees,sp.file="sim/species5.nex",ncoal=20,br=0.01,distance="d")
> roc.pmc.mix <- roc.sim(k,neff=2000,out.trees[1:200], f=pmcoa,sp.file="sim/species5.nex",ncoal=20,distance="p")
> save(roc.kde.mix,roc.kde.mix.d,roc.pmc.mix,file="sim/rocmix.Rda")

> load("sim/rocmix.Rda")
> roc.df.mix <- rbind(cbind(as.data.frame(t(roc.kde.mix)),method="kde"),
+                   cbind(as.data.frame(t(roc.kde.mix.d)),method="kdediss"),
+                   cbind(as.data.frame(t(roc.pmc.mix)),method="pmcoa"))
> rocdf <- rbind(cbind(roc.df,coal="single"),cbind(roc.df.mix,coal="mixed"))
> roc.mix.plot <- ggplot(rocdf,aes(x=type1,y=hit,lty=method)) + geom_line() + xlim(0,1) + theme(legend.pos="right")
> roc.mix.plot
> ggsave("img/rocmix.pdf",width=6,height=4)
> ##
>

```

The next simulation looks at the TPR as we modify the variance of the coalescent trees (neff parameter).

```

> neff.sim <- function(neff,...){
+   res <- sapply(neff, sim, ...)

```

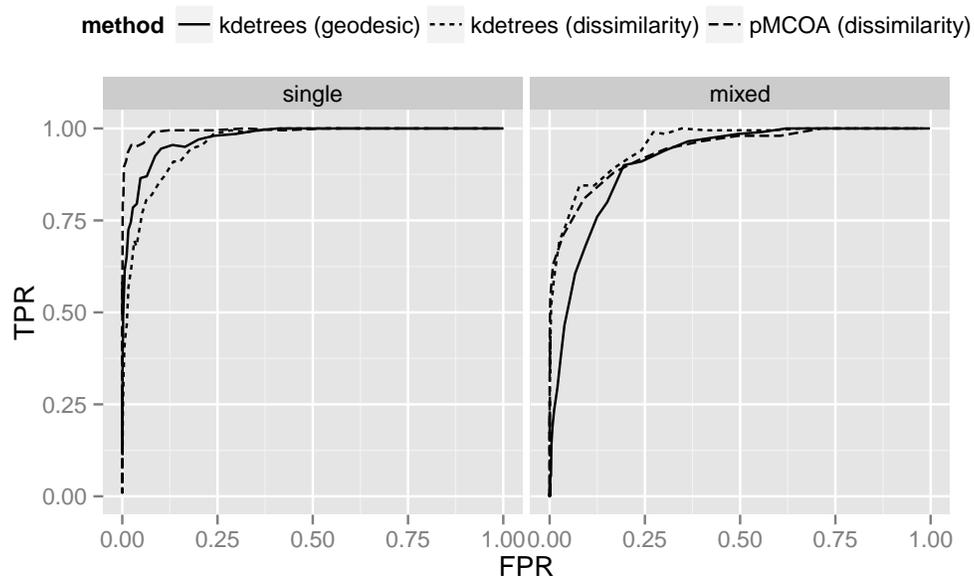


Figure 1: ROC curves comparing kdetrees and pMCOA as the classification tuning parameter is varied. Trees were generated from a coalescent distribution with  $n_{eff} = 2000$ . kdetrees is run in geodesic distance with branch lengths mode, and pMCOA was run in "parastic" mode. (This seems to be the best performing mode for each method in this scenario.)

```

+   colnames(res) <- neff
+   res
+ }
> #neff.sim(1000,out.trees[1:10],f=kdetrees)

> neff <- round(exp(seq(log(500),log(3000),len=10)))
> ##### single
> tpr.kde <- neff.sim(neff,out.trees[1:200],f=kdetrees,k=0.751)
> tpr.kde.d <- neff.sim(neff,out.trees[1:200],f=kdetrees,k=1,distance="d")
> tpr.kde.t <- neff.sim(neff,out.trees[1:200],f=kdetrees,k=1,distance="d",topo.only=TRUE)
> tpr.pmc <- neff.sim(neff,out.trees[1:200],f=pmcoa,k=0.125)
> tpr.pmc.p <- neff.sim(neff,out.trees[1:200],f=pmcoa,k=0,distance="p")
> save(tpr.kde,tpr.pmc,tpr.kde.d,tpr.pmc.p,tpr.kde.t,file="sim/tprmix.Rda")
> ##### mixed
> tpr.kde.mix <- neff.sim(neff,out.trees[1:200],f=kdetrees,k=0.7,sp.file="sim/species5.nex",ncoal=20)
> tpr.kde.d.mix <- neff.sim(neff,out.trees[1:200],f=kdetrees,k=0.65,distance="d",sp.file="sim/species5.nex")
> tpr.kde.t.mix <- neff.sim(neff,out.trees[1:200],f=kdetrees,k=0.7,distance="d",topo.only=TRUE,sp.file="sim/species5.nex")
> tpr.pmc.mix <- neff.sim(neff,out.trees[1:200],f=pmcoa,k=0.25,sp.file="sim/species5.nex",ncoal=20)
> tpr.pmc.p.mix <- neff.sim(neff,out.trees[1:200],f=pmcoa,k=0.25,distance="p",sp.file="sim/species5.nex",ncoal=20)
> save(tpr.kde.mix,tpr.pmc.mix,tpr.kde.d.mix,tpr.pmc.p.mix,tpr.kde.t.mix,file="sim/tprmix.Rda")
> ##### single default
> def.tpr.kde <- neff.sim(neff,out.trees[1:200],f=kdetrees)
> def.tpr.kde.d <- neff.sim(neff,out.trees[1:200],f=kdetrees,distance="d")
> def.tpr.kde.t <- neff.sim(neff,out.trees[1:200],f=kdetrees,distance="d",topo.only=TRUE)
> def.tpr.pmc <- neff.sim(neff,out.trees[1:200],f=pmcoa)
> def.tpr.pmc.p <- neff.sim(neff,out.trees[1:200],f=pmcoa,distance="p")
> save(def.tpr.kde,def.tpr.pmc,def.tpr.kde.d,def.tpr.pmc.p,def.tpr.kde.t,file="sim/deftprmix.Rda")
> ##### mixed default
> def.tpr.kde.mix <- neff.sim(neff,out.trees[1:200],f=kdetrees,sp.file="sim/species5.nex",ncoal=20)
> def.tpr.kde.d.mix <- neff.sim(neff,out.trees[1:200],f=kdetrees,distance="d",sp.file="sim/species5.nex",ncoal=20)
> def.tpr.kde.t.mix <- neff.sim(neff,out.trees[1:200],f=kdetrees,distance="d",topo.only=TRUE,sp.file="sim/species5.nex",ncoal=20)
> def.tpr.pmc.mix <- neff.sim(neff,out.trees[1:200],f=pmcoa,sp.file="sim/species5.nex",ncoal=20)
> def.tpr.pmc.p.mix <- neff.sim(neff,out.trees[1:200],f=pmcoa,distance="p",sp.file="sim/species5.nex",ncoal=20)
> save(def.tpr.kde.mix,def.tpr.pmc.mix,def.tpr.kde.d.mix,def.tpr.pmc.p.mix,def.tpr.kde.t.mix,file="sim/deftprmix.Rda")

> load("sim/tpr.Rda")
> tpr.res <- rbind(cbind(as.data.frame(t(tpr.kde)),method="kdetrees",dist="geodesic",neff=neff),
+               cbind(as.data.frame(t(tpr.pmc)),method="pMCOA",dist="topological",neff=neff),
+               cbind(as.data.frame(t(tpr.pmc.p)),method="pMCOA",dist="dissimilarity",neff=neff),
+               cbind(as.data.frame(t(tpr.kde.d)),method="kdetrees",dist="dissimilarity",neff=neff),
+               cbind(as.data.frame(t(tpr.kde.t)),method="kdetrees",dist="topological",neff=neff))
> tpr.res.mix <- rbind(cbind(as.data.frame(t(tpr.kde.mix)),method="kdetrees",dist="geodesic",neff=neff),
+                   cbind(as.data.frame(t(tpr.pmc.mix)),method="pMCOA",dist="topological",neff=neff),
+                   cbind(as.data.frame(t(tpr.pmc.p.mix)),method="pMCOA",dist="dissimilarity",neff=neff),
+                   cbind(as.data.frame(t(tpr.kde.d.mix)),method="kdetrees",dist="dissimilarity",neff=neff),
+                   cbind(as.data.frame(t(tpr.kde.t.mix)),method="kdetrees",dist="topological",neff=neff))
> def.tpr.res <- rbind(cbind(as.data.frame(t(def.tpr.kde)),method="kdetrees",dist="geodesic",neff=neff),
+                   cbind(as.data.frame(t(def.tpr.pmc)),method="pMCOA",dist="topological",neff=neff),
+                   cbind(as.data.frame(t(def.tpr.pmc.p)),method="pMCOA",dist="dissimilarity",neff=neff),
+                   cbind(as.data.frame(t(def.tpr.kde.d)),method="kdetrees",dist="dissimilarity",neff=neff),
+                   cbind(as.data.frame(t(def.tpr.kde.t)),method="kdetrees",dist="topological",neff=neff))
> def.tpr.res.mix <- rbind(cbind(as.data.frame(t(def.tpr.kde.mix)),method="kdetrees",dist="geodesic",neff=neff),
+                       cbind(as.data.frame(t(def.tpr.pmc.mix)),method="pMCOA",dist="topological",neff=neff),
+                       cbind(as.data.frame(t(def.tpr.pmc.p.mix)),method="pMCOA",dist="dissimilarity",neff=neff),
+                       cbind(as.data.frame(t(def.tpr.kde.d.mix)),method="kdetrees",dist="dissimilarity",neff=neff),
+                       cbind(as.data.frame(t(def.tpr.kde.t.mix)),method="kdetrees",dist="topological",neff=neff))

```

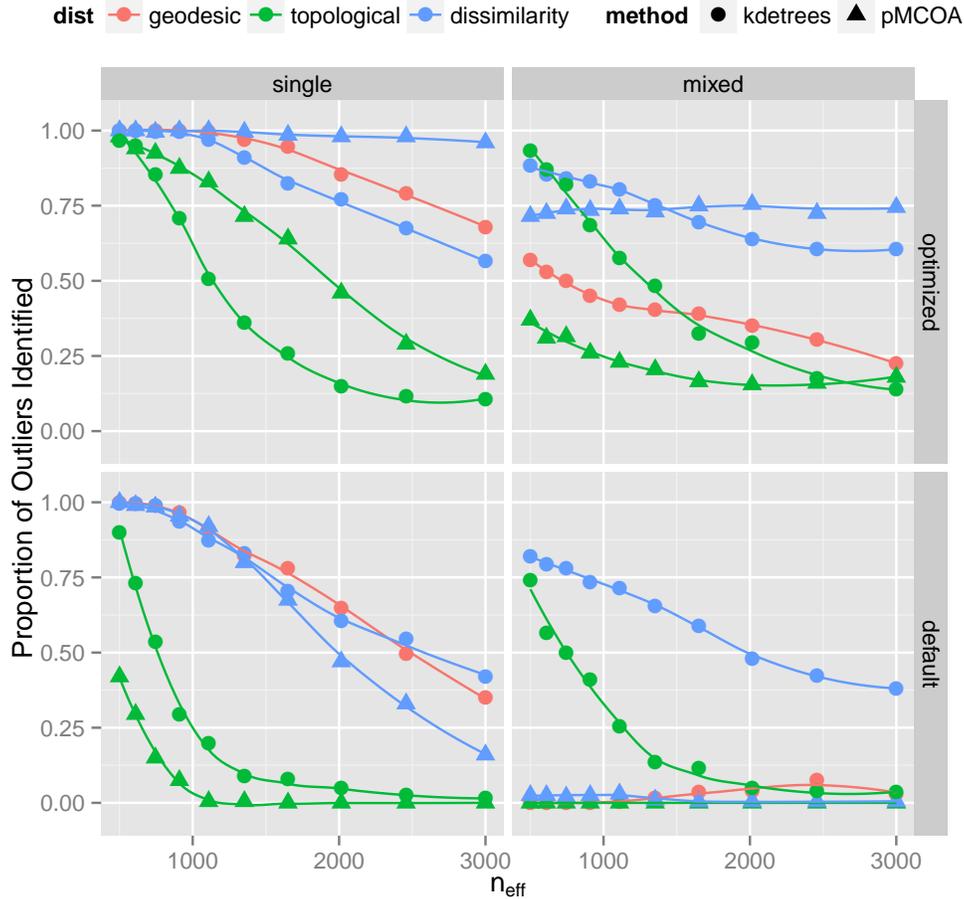


Figure 2: Outlier identification rates.

```

+       cbind(as.data.frame(t(def.tpr.pmc.mix)),method="pMCOA",dist="topological",neff=neff)
+       cbind(as.data.frame(t(def.tpr.pmc.p.mix)),method="pMCOA",dist="dissimilarity",neff=neff)
+       cbind(as.data.frame(t(def.tpr.kde.d.mix)),method="kdetrees",dist="dissimilarity",neff=neff)
+       cbind(as.data.frame(t(def.tpr.kde.t.mix)),method="kdetrees",dist="topological",neff=neff)
> tpr.df <- rbind(cbind(tpr.res,coal="single",tune="optimized"),cbind(tpr.res.mix,coal="mixed",tune="optimized"),
+               cbind(def.tpr.res,coal="single",tune="default"),cbind(def.tpr.res.mix,coal="mixed",tune="default"))
> ggplot(tpr.df,aes(x=neff,y=hit,pch=method,col=dist))+facet_grid(tune~coal)+geom_point(size=3)+geom_smooth()
> ggsave("img/tpr.pdf",height=6,width=6)

> library(phangorn)
> x <- replicate(10000,rtree(50),simplify=FALSE)
> class(x) <- "multiPhylo"
> timing <- function(i,fn,...) system.time(fn(x[1:i],...))
> n <- floor(10^seq(1,3.699,length.out=10))
> kdebench3 <- sapply(n,timing,kdetrees,distance="d")
> pmcbench3 <- sapply(n,timing,pmcoa)
> save(kdebench3,pmcbench3,file="sim/bench.Rda")

```

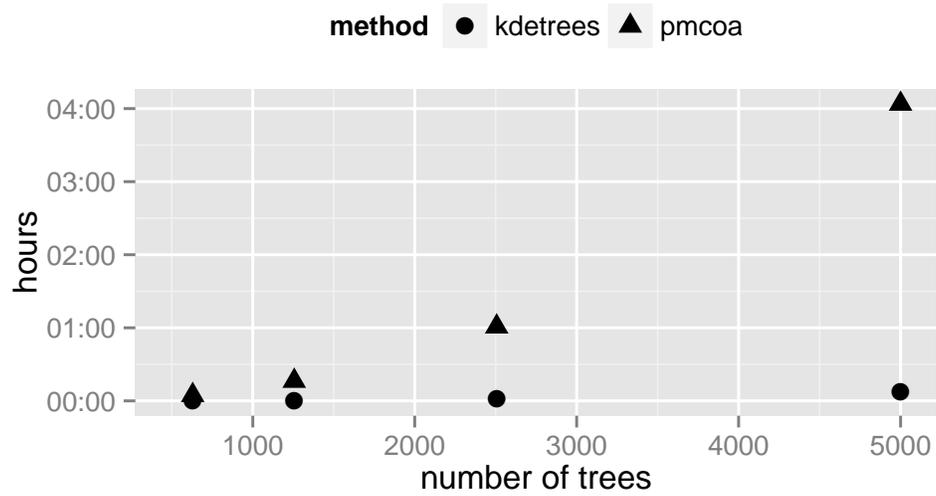


Figure 3: Benchmarks

```

> foo <- function(x) data.frame(n=n, time=ISOdate(2001,1,1,0)+as.data.frame(t(x))$elapsed, method=as.character(x))
> bench.df <- rbind(foo(kdebench3), foo(pmcbench3))
> ggplot(bench.df, aes(x=n, y=time, pch=method))+geom_point(size=3)+labs(y="hours", x="number of trees")+theme_minimal()
> ggsave("img/bench.pdf", height=3, width=5)

```